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# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named

Inventor : Philipp H. Schmid et al.

Appln. No.: 09/751,836

Filed: December 29,, 2000

For : MIDDLEWARE LAYER BETWEEN

SPEECH RELATED APPLICATIONS

AND ENGINES

Docket No.: M61.12-0323

Group Art Unit: 2655

Examiner: Matthew J.

Sked

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

I HEREBY CERTIFY THAT THIS PAPER IS BEING SENT BY U.S. MAIL, FIRST CLASS, TO THE COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-2450, THIS

Yan I

PATENT ATTORNEY

Sir:

The patents or publications listed on the enclosed PTO Form-1449 are submitted pursuant to 37 C.F.R. § 1.97. Copies of foreign references or "other art" references are enclosed.

## TIME OF FILING

The information disclosure statement is being filed:

- 1. \_\_\_\_ with the application or within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. In accordance with 37 C.F.R. § 1.97(b), no statement or fee is required.
- 2. X after the time period specified in paragraph 1 above, but before the mailing date of a final action under 37 C.F.R. § 1.113 or notice of allowance under 37 C.F.R. § 1.311. Therefore, in accordance with 37 C.F.R. § 1.97(c), submitted herewith is:

(check either A or B below)

- A. X a statement as specified in 37 C.F.R. § 1.97(e).
- B. \_ the fee set forth in 37 C.F.R. § 1.17(p) for

submission of an information disclosure statement under 37 C.F.R. § 1.97(c).

- after the mailing date of either a final action under 37 C.F.R. § 1.113 or a notice of allowance under 37 C.F.R. § 1.311, whichever occurs first, but before payment of the issue fee. Therefore, Applicant petitions for consideration and submits herewith:
  - A. a statement as specified in 37 C.F.R. § 1.97(e);
  - B. the petition fee set forth in 37 C.F.R. § 1.17(p).

### STATEMENT

(only used if No. 2(A) or No. 3 above is checked) The person(s) signing below certify

(check appropriate paragraph)

X that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. 37 C.F.R. § 1.97(e)(1).

OR

that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement. 37 C.F.R. § 1.97(e)(2).

# METHOD OF PAYMENT

X	$\_$ No fe	ee	is	requir	red.				
	Attached	is	a	check	in	the	amount	of	\$.

The Director is authorized to charge any fee deficiency required by this paper or credit any overpayment to Deposit Account No. 23-1123. A duplicate copy of this communication is enclosed.

Respectfully submitted,

WESTMAN, CHAMPLIN & KELLY, P.A.

Bv

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JRK:slg

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FORM PTO-1449	Atty. Docket No.: M61.12-0323	Appl. No.: 09/751,836		
OIP PAST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	First Named Inventor:			
(_ FFB 0 R 2006)	Philipp H. Schmid			
170	Filing Date	Group Art:		
TRADEMAN OF STATE OF	December 29, 2000	2655		

### U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
AA	5,844,262	03/16/99	Wise et al.	704	270	
AB	5,850,629	12/15/98	Holm et al.	704	260	
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#### FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Class	Sub Class	Translation Yes No
AC	WO 00/20962	13.04.00	PCT			
AD	0 872 827	08.04.98	EP			
 AE	WO 00/23876	27.04.00	PCT			

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AF	"Stimulating shared buffer communication in a distributed processing environment" IBM Corp. New York, US, vol. 34, no. 11, 1 April 1992.
AG	Kitai M. et al., "ASR and TTS telecommunications applications in Japan" Speech Communication, Elsevier Science Publishers, Amsterdam, NL vol. 23, no. 1-2, 1 October 1997 pp. 17-30
EXAMINER:	DATE CONSIDERED.

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.